

# Enhancing residual-based techniques with shape reconstruction features in EIT

Mach Nguyet Minh

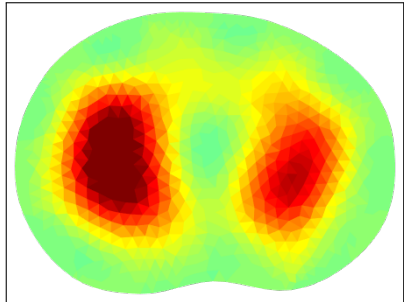
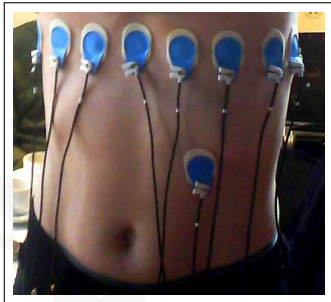
`mach@math.uni-frankfurt.de`

Joint work with Bastian Harrach

Institute of Mathematics, Goethe University Frankfurt, Germany

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## Electrical impedance tomography (EIT)



Source: (left) Bastian Harrach, (right) Kyoung Hun Lee

- ▶ Apply electric currents on subject's boundary
- ▶ Measure necessary voltages
- ≈ Reconstruct conductivity inside subject.

## Mathematical Model

$\Omega \subset \mathbb{R}^n$ : imaged body,  $n \geq 2$

$\sigma(x)$ : conductivity

$u(x)$ : electric potential

$g$ : current density

Inside  $\Omega$ ,  $u(x)$  solves:

$$\nabla \cdot (\sigma(x) \nabla u(x)) = 0$$

Idealistic model for boundary measurements (continuum model):

$$\sigma \nabla u \cdot \nu = g, \quad \text{on } \partial\Omega$$

## Calderón problem

Can we recover  $\sigma \in L_+^\infty(\Omega)$  in

$$\nabla \cdot (\sigma \nabla u) = 0, \quad x \in \Omega \quad (1)$$

from all possible Dirichlet and Neumann boundary values

$$\{(u|_{\partial\Omega}, \sigma \partial_\nu u|_{\partial\Omega}) : u \text{ solves (1)}\}?$$

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Equivalent: Recover  $\sigma$  from **Neumann-to-Dirichlet-Operator**

$$\Lambda(\sigma) : L_\diamond^2(\partial\Omega) \rightarrow L_\diamond^2(\partial\Omega), \quad g \mapsto u|_{\partial\Omega},$$

where  $u$  solves (1) with  $\sigma \partial_\nu u|_{\partial\Omega} = g$ .

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- ▶  $\sigma$  is uniquely defined by  $\Lambda(\sigma)$ : Kohn and Vogelius (1984), Sylvester and Uhlmann (1987) Nachman (1996), Astala and Päiväranta (2006)
- ▶ Recovering of  $\sigma$  from  $\Lambda(\sigma)$ : D-bar method, Factorization method, Enclosure method, NOSER algorithm, GREIT algorithm ...

## Minimization problem of the linearized residual

Recovering of  $\sigma$  from  $\Lambda_{\text{meas}}$

- ~ Find  $\sigma$  such that  $\Lambda(\sigma) = \Lambda_{\text{meas}}$ ?
- ~ Find  $\sigma$  such that  $\|\Lambda_{\text{meas}} - \Lambda(\sigma)\|^2 \rightarrow \min!$
- ~ Linearized Tikhonov:  

$$\|\Lambda_{\text{meas}} - \Lambda(\sigma_0) - \Lambda'(\sigma_0)(\sigma - \sigma_0)\|^2 + \alpha \|\sigma - \sigma_0\|^2 \rightarrow \min!$$

Advantage:

- ▶ Flexible and fast
- ▶ Good reconstruction images

Drawback:

- ▶ Images usually contain ringing artifacts
- ▶ Convergence unclear
  - ▶ Convergence against true sol for exact data  $\Lambda(\sigma)$ ?
  - ▶ Convergence against true sol for noisy data  $\Lambda_{\text{meas}}$ ?

## Linearization and shape reconstruction

**Theorem** (Harrach/Seo, SIMA 2010)

Let  $\kappa$ ,  $\sigma$ ,  $\sigma_0$  pcw. analytic.

$$\Lambda'(\sigma_0)\kappa = \Lambda(\sigma) - \Lambda(\sigma_0) \implies \text{supp}_{\partial\Omega}\kappa = \text{supp}_{\partial\Omega}(\sigma - \sigma_0)$$

$\text{supp}_{\partial\Omega}$ : outer support ( = supp + parts unreachable from  $\partial\Omega$  )

~> Linearized EIT equation contains correct shape information

## Monotonicity-based imaging

- ▶ Inclusion detection: For  $\sigma = 1 + \chi_D$  with unknown  $D$ , use  $\tau = 1 + \chi_B$ , with small ball  $B$ .

$$B \subseteq D \implies \tau \leq \sigma \implies \Lambda(\tau) \geq \Lambda(\sigma)$$

- ▶ Algorithm: Mark all balls  $B$  with  $\Lambda(1 + \chi_B) \geq \Lambda(\sigma)$
- ▶ Result: upper bound of  $D$ .

*Only an upper bound? Converse monotonicity relation?*

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**Theorem** (Harrach/Ullrich, SIMA 2013)

$$B \subseteq D \iff \Lambda(1) + \frac{1}{2}\Lambda'(1)\chi_B \geq \Lambda(\sigma).$$

**Proof:** Monotonicity & localized potentials

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*Shape can be reconstructed by linearized monotonicity tests*

- ~ fast, rigorous, allows globally convergent implementation
- ~ very sensitive to noise



## Improving residuum-based methods

Let  $\Omega \setminus \overline{D}$  connected.  $\sigma = 1 + \gamma \chi_D, \gamma \in L_+^\infty(\Omega)$ .

- ▶ Pixel partition  $\Omega = \bigcup_{k=1}^m P_k$
- ▶ Monotonicity tests

$$\beta_k \in [0, \infty] \text{ max. values s.t. } \beta_k \Lambda'(1) \chi_{P_k} \geq \Lambda(\sigma) - \Lambda(1)$$

- ▶ Let  $a := 1 - \frac{1}{1 + \inf_D \gamma}$ , then  $\beta_k \geq a$  if  $P_k \subseteq D$ .

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**Lemma 1** (Harrach/M., submitted, 2015)  $P_k \subseteq D$  if and only if  $\beta_k > 0$

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- ▶  $R(\kappa) \in \mathbb{R}^{S \times S}$ : Discretization of lin. residual  $\Lambda(\sigma) - \Lambda(1) - \Lambda'(1)\kappa$   
(e.g. Galerkin proj. to fin.-dim. space)
- ▶ Lemma 1  $\rightsquigarrow$  upper bound for  $\kappa$ :  $\beta_k$
- ▶  $\beta_k$  may be  $+\infty \rightsquigarrow$  new upper bound for  $\kappa$ :  $\min\{a, \beta_k\}$

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## Theorem 2 (Harrach/M., submitted, 2015)

The monotonicity-constrained residuum minimization problem

$$\|R(\kappa)\|_F \rightarrow \min! \quad \text{s.t.} \quad \kappa|_{P_k} = \text{const.}, \quad 0 \leq \kappa|_{P_k} \leq \min\{a, \beta_k\}$$

possesses a unique solution  $\hat{\kappa}$ , and  $P_k \subseteq \text{supp } \hat{\kappa}$  iff  $P_k \subseteq \text{supp}(\sigma - 1)$ .

Moreover,  $\hat{\kappa}|_{P_k} = \min\{a, \beta_k\}$ .

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## Proof of Theorem 2:

- ▶ Existence of minimizer:
  - ▶  $\kappa \mapsto \|\mathbf{R}(\kappa)\|_F^2$  continuous.
  - ▶ The admissible set is compact.
- ▶ **Step 1:** If  $\kappa|_{P_k} = \text{const.}$ ,  $0 \leq \kappa|_{P_k} \leq \min\{a, \beta_k\}$ , then

$$\Lambda(\sigma) - \Lambda(1) - \Lambda'(1)\kappa \leq 0.$$

- ▶ **Step 2:** If  $\hat{\kappa} = \sum \hat{\alpha}_k \chi_k$  is a minimizer, then  $\text{supp } \hat{\kappa} \subseteq D$ .
  - ▶ Step 1  $\leadsto \Lambda(\sigma) - \Lambda(1) - \Lambda'(1)\hat{\alpha}_k \chi_k \leq \Lambda(\sigma) - \Lambda(1) - \Lambda'(1)\hat{\kappa} \leq 0$ .
  - ▶ Lemma 1 + definition of  $\beta_k \leadsto$  if  $\hat{\alpha}_k > 0$ , then  $P_k \subseteq D$ .

## Proof of Theorem 2:

- ▶ **Step 3:** If  $\hat{\mathbf{K}}$  is a minimizer, then  $\hat{\mathbf{K}}|_{P_k} = \min\{a, \beta_k\}$ 
  - ▶ If there exists a pixel  $P_k$  such that  $\hat{\mathbf{K}}(x) < \min\{a, \beta_k\}$  in  $P_k$ , we can choose  $h > 0$  such that  $\hat{\mathbf{K}} + h\chi_k = \min(a, \beta_k)$  in  $P_k$ .
  - ▶  $\mathbf{R}(\hat{\mathbf{K}})$  and  $\mathbf{R}(\hat{\mathbf{K}} + h\chi_k)$  symmetric  $\leadsto$  real eigenvalues.
  - ▶  $\mathbf{R}(\hat{\mathbf{K}} + h\chi_k) = \mathbf{R}(\hat{\mathbf{K}}) + h\mathbf{S}_k$ .
  - ▶ Poincaré's inequality and the unique continuation principle  $\leadsto \mathbf{S}_k$  is positive definite matrix  $\leadsto \lambda_i(\mathbf{S}_k) > 0$ .
  - ▶ Weyl's Inequalities

$$\lambda_i(\hat{\mathbf{K}} + h\chi_k) \geq \lambda_i(\hat{\mathbf{K}}) + h\lambda_N(\mathbf{S}_k) > \lambda_i(\hat{\mathbf{K}}) \quad \text{for all } i \in \{1, \dots, N\}.$$

- ▶ Step 1  $\leadsto \lambda_i(\hat{\mathbf{K}}) \leq 0, \lambda_i(\hat{\mathbf{K}} + h\chi_k) \leq 0$ .
- ▶ Thus,
 
$$\|\mathbf{R}(\hat{\mathbf{K}} + h\chi_k)\|_F^2 - \|\mathbf{R}(\hat{\mathbf{K}})\|_F^2 = \sum_{i=1}^N |\lambda_i(\hat{\mathbf{K}} + h\chi_k)|^2 - \sum_{i=1}^N |\lambda_i(\hat{\mathbf{K}})|^2 < 0,$$
 which contradicts the minimality of  $\hat{\mathbf{K}}$ .

## Proof of Theorem 2:

- ▶ **Step 4:** If  $P_k \subseteq D$ , then  $P_k \subseteq \text{supp } \hat{\kappa}$ .
  - ▶  $\hat{\kappa}$  is a minimizer + Step 3  $\leadsto \hat{\kappa} = \sum_{k=1}^P \min(a, \beta_k) \chi_k$ .
  - ▶  $P_k \subseteq D$  + Lemma 1  $\leadsto \min(a, \beta_k) > 0 \leadsto P_k \subseteq \text{supp } \hat{\kappa}$ .
- ▶ Uniqueness of minimizer:
  - ▶ Step 3  $\leadsto$  a unique minimizer  $\hat{\kappa} = \sum_{k=1}^P \min(a, \beta_k) \chi_k$ .
  - ▶ This minimizer fulfills

$$\begin{aligned} \hat{\kappa} &= a && \text{in } P_k, \text{ if } P_k \subseteq D, \\ \hat{\kappa} &= 0 && \text{in } P_k, \text{ if } P_k \not\subseteq D. \end{aligned}$$

## Improving residuum-based methods

Convergence for noisy data:  $\|\Lambda^\delta(\sigma) - \Lambda(\sigma)\|_{\text{op}} \leq \delta$

- ▶  $R^\delta(\kappa) \in \mathbb{R}^{s \times s}$ : Discretization of lin. residual for noisy data  $\Lambda^\delta(\sigma)$

$$\Lambda^\delta(\sigma) - \Lambda(1) - \Lambda'(1)\kappa$$

- ▶  $\beta_k^\delta \in [0, \infty]$  max. values s.t.  $\beta_k^\delta \Lambda'(1)\chi_{P_k} \geq \Lambda^\delta(\sigma) - \Lambda(1)$

Then  $\beta_k^\delta \geq \beta_k$

$$\leadsto P_k \subseteq D \Rightarrow \beta_k^\delta \geq \mathbf{a}$$

$$\leadsto \beta_k^\delta = 0 \Rightarrow P_k \not\subseteq D$$

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**Theorem 3** (Harrach/M., submitted, 2015)

The monotonicity-constrained residuum minimization problem

$$\|R^\delta(\kappa)\|_F \rightarrow \min! \quad \text{s.t.} \quad \kappa|_{P_k} = \text{const.}, \quad 0 \leq \kappa|_{P_k} \leq \min\{\mathbf{a}, \beta_k^\delta\}$$

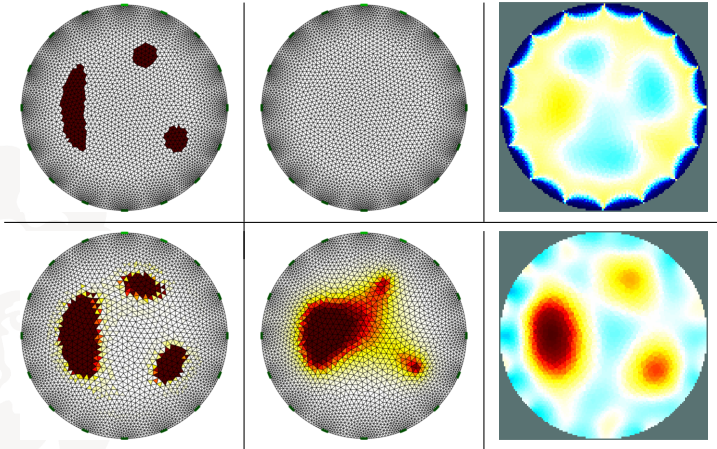
possesses a solution  $\hat{\kappa}^\delta$ , and  $\hat{\kappa}^\delta \rightarrow \hat{\kappa}$  pointwise.

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**Proof of Theorem 3:** unique minimality of  $\hat{\kappa}$

## Numerical experiment: Simulated data 10% noise

Reference body: ball diameter 2. Inclusions: one half-ellipse + two small balls diameter 0.2

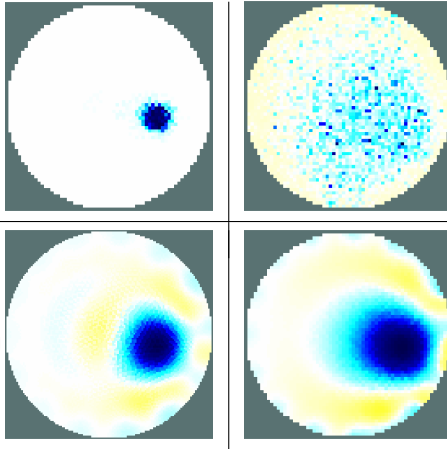


(Left-to-right) First row: True conductivity, Reference conductivity, NOSER solver

Second row: Our method, Monotonicity-based imaging, EIDORS default solver

## Numerical experiment: iirc phantom data

Tank: diameter 20cm. Rod: diameter 2cm



First row: Our method (left), Monotonicity-based imaging (right)

Second row: NOSER solver (left), EIDORS default solver (right)



- ▶ EIT is a highly ill-posed, non-linear inverse problem.
- ▶ Generic solvers for non-linear inverse problems
  - ▶ Very flexible, real-time implementation, good enough reconstruction image
  - ▶ Ringing artifacts, convergence unclear
- ▶ Improving residuum-based methods for EIT shape reconstruction
  - ▶ allow fast, rigorous, globally convergent implementations.
  - ▶ work in any dimensions  $n \geq 2$ , full or partial boundary data.
  - ▶ can enhance standard residual-based methods.
  - ▶ yield rigorous resolution guarantees for realistic settings.
  - ▶ need definiteness assumption.
- ▶ Future work: Method applicable without definiteness assumption.