

# Introduction to Optical Flow

Mariya Zhariy

Uttendorf 2005

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# Visual cranial reflex(VCR)(?)

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- Rapidly changing scene (video games, virtual reality)
- Movements are identified by brain
- Brain sends signals to the eyes
- Eyes perform opposite movements in order to equilibrate the scene

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# Example: Rubik Cube

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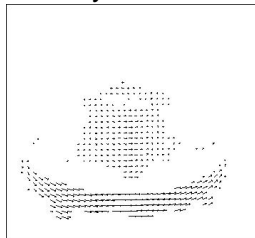
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## Image sequence



## Velocity field



Pixel correspondence problem:

Given a pixel in the first image, look for a “nearby” pixel in the second image with the same brightness.

- Key assumptions:
  - brightness constancy
  - small motion
- Resulting flow:
  - displacement vector field
- Problems:
  - great displacements
  - changing illumination

# Brightness constancy assumption

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If  $I(\mathbf{x}, t)$  image brightness, then

$$I(\mathbf{x}, t) \approx I(\mathbf{x} + \partial\mathbf{x}, t + \partial t),$$

where  $\partial\mathbf{x}$  is the displacement of  $\mathbf{x}$  after time  $\partial t$ .

Taylor series

$$I(\mathbf{x} + \partial\mathbf{x}, t + \partial t) = I(\mathbf{x}, t) + \nabla I \cdot \mathbf{v} + \frac{\partial I}{\partial t} + H.O.T.,$$

where  $\mathbf{v} = \frac{\partial\mathbf{x}}{\partial t}$



Brightness constancy and small motion  
(vanishing H.O.T.) yield:

$$\frac{dI(\mathbf{x}, t)}{dt} = \nabla I \cdot \mathbf{v} + \frac{\partial I}{\partial t} = 0,$$

- two velocity components, one equation – underdefined problem
- so called **aperture problem**
- another constraint is required

# Aperture Problem

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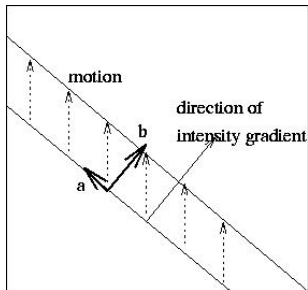
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Only the normal component of the velocity  $\mathbf{v}$  in  $\nabla I$  direction is known:

$$v_n = \mathbf{v} \cdot \frac{\nabla I}{\|\nabla I\|} = -\frac{I_t}{\|\nabla I\|}$$

the tangential component  $v_\tau$  is unknown.

**Fazit:** locally we can not see the tangential motion.

## Classification:

- Differential techniques
  - Global methods
    - Horn and Schunck (1st order)
    - Nagel (2nd order)
  - Local methods (Lucas and Kanade)
- Region-based matching
- Frequency-based methods

**Note:** All OF techniques can use the hierarchical (coarse-to-fine) refinement.

**Regularisation:** the optical flow constraint

$$\nabla I \cdot \mathbf{v} + \frac{\partial I}{\partial t} = 0$$

combined with a smoothness assumption based on:

$$\|\nabla v_x\|^2 + \|\nabla v_y\|^2$$

(Another measure of smoothness:  $\Delta v_x + \Delta v_y$ )

Look for  $\mathbf{v} = (v_x, v_y)$  minimizing functional:

$$E(\mathbf{v}) = \int_{\Omega} \left( \nabla I \cdot \mathbf{v} + \frac{\partial I}{\partial t} \right)^2 + \lambda (\|\nabla v_x\|^2 + \|\nabla v_y\|^2) d\mathbf{x}$$

Minimization of  $E(\mathbf{v})$ :

Variational calculus:

$$I_x(I_x \bar{v}_x + I_y \bar{v}_y + I_t) + \lambda \Delta v_x = 0$$

$$I_y(I_x \bar{v}_x + I_y \bar{v}_y + I_t) + \lambda \Delta v_y = 0$$

Discretising of derivatives via finite differences:

$$\Delta v = v - \bar{v},$$

where

$$\bar{v} = v * M,$$

are local averages with mask

$$M = \begin{pmatrix} 1/12 & 1/6 & 1/12 \\ 1/6 & 0 & 1/6 \\ 1/12 & 1/6 & 1/12 \end{pmatrix}$$

Discretized equations in separated form:

$$(\lambda + I_x^2 + I_y^2)(v_x - \bar{v}_x) = -I_x(I_x\bar{v}_x + I_y\bar{v}_y + I_t)$$

$$(\lambda + I_x^2 + I_y^2)(v_y - \bar{v}_y) = -I_y(I_x\bar{v}_x + I_y\bar{v}_y + I_t)$$

Gauss-Seidel Iteration:

$$v_x^{n+1} = \bar{v}_x^n - I_x \frac{I_x\bar{v}_x^n + I_y\bar{v}_y^n + I_t}{\lambda + I_x^2 + I_y^2}$$

$$v_y^{n+1} = \bar{v}_y^n - I_y \frac{I_x\bar{v}_x^n + I_y\bar{v}_y^n + I_t}{\lambda + I_x^2 + I_y^2}$$

with  $\bar{v}_x, \bar{v}_y$  local averages of  $v_x, v_y$ .

- Advantages
  - smooth flow
  - global information
  - accurate time derivatives, using more than two frames, possible
- Disadvantages
  - iterative method: slow
  - unsharp boundaries

# Lucas-Kanade Method

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Assume the velocity  $\mathbf{v} = (v_x, v_y)$  to be constant over a small neighbourhood  $\Omega_x$  of every  $\mathbf{x} \in \Omega$ .

Minimize for all  $\mathbf{x} \in \Omega$ :

$$\sum_{\mathbf{y} \in \Omega_x} W(\mathbf{y}) [\nabla I(\mathbf{y}, t) \cdot \mathbf{v} + I_t(\mathbf{y}, t)],$$

where  $W(\mathbf{x})$  is a weight function.

Solution via normal equation:

$$A^T W A \mathbf{v} = A^T W \mathbf{b},$$

where

$$\begin{aligned} A &= [\nabla I(\mathbf{x}_1), \dots, \nabla I(\mathbf{x}_n)]^T \\ W &= \text{diag}[W(\mathbf{x}_1), \dots, W(\mathbf{x}_n)] \\ \mathbf{b} &= -[I_t(\mathbf{x}_1), \dots, I_t(\mathbf{x}_n)] \end{aligned}$$



- Advantages
  - easy and fast calculation
  - accurate time derivatives
- Disadvantages
  - errors on boundaries

Best combination between accuracy and speed.

# Region-based Matching

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Define velocity  $\mathbf{v}$  as shift  $\mathbf{d} = (d_x, d_y)$ .

Consider sum-of-squared difference between two frames  $I_1$  and  $I_2$ :

$$\begin{aligned} SSD(\mathbf{x}, \mathbf{d}) &= \sum_{\mathbf{y} \in \Omega_x} W(\mathbf{y} - \mathbf{x}) [I_1(\mathbf{y}) - I_2(\mathbf{y} + \mathbf{d})]^2 \\ &= W * [I_1(\mathbf{x}) - I_2(\mathbf{x} + \mathbf{d})]^2, \end{aligned}$$

where  $W$  2-dim window function,  $\mathbf{d}$  integer.

$\Omega_x$  is a  $3 \times 3$ ,  $5 \times 5$  etc. square with  $\mathbf{x}$  in the middle.

**Note:** Minimizing SSD is equal to maximizing the cross-correlation, which is the sum over products  $I_1(\mathbf{x})I_2(\mathbf{x} + \mathbf{d})$

- Advantages
  - easy to calculate
- Disadvantages
  - only integer displacements: inaccurate
  - only local information used
  - two-frame time derivatives: inaccurate

All methods described here work better with presmoothed data.

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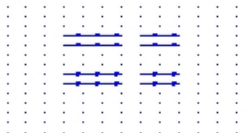
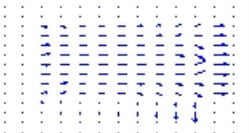
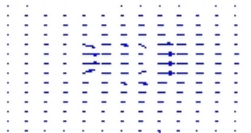
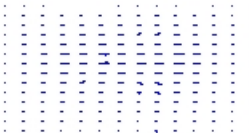
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Unsmoothed sequence:



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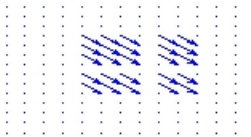
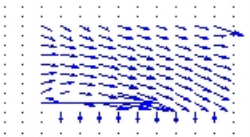
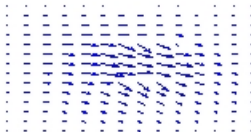
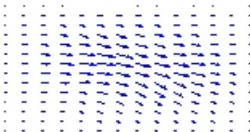
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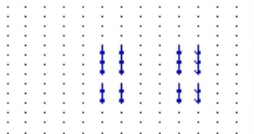
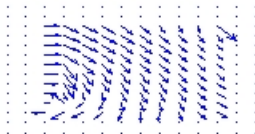
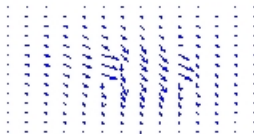
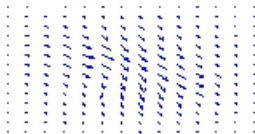
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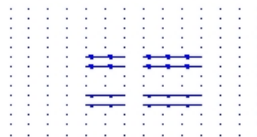
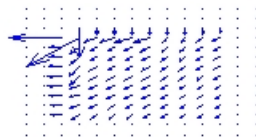
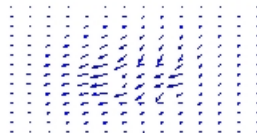
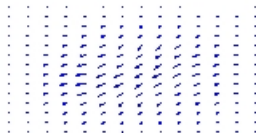
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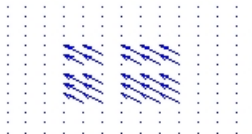
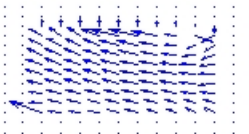
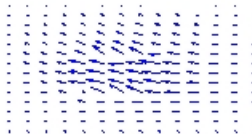
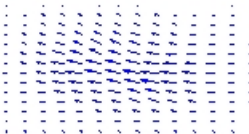
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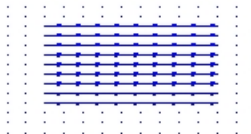
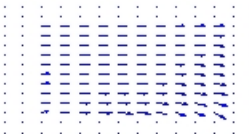
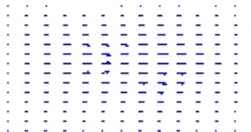
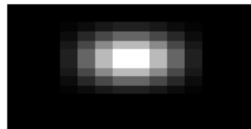
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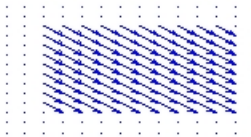
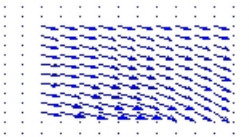
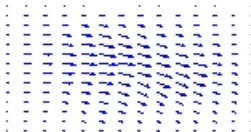
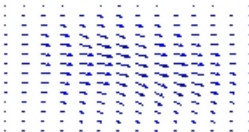
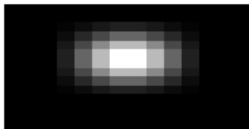
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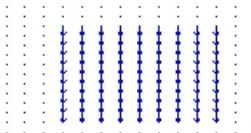
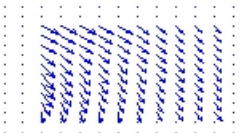
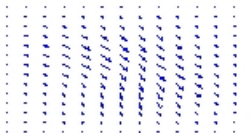
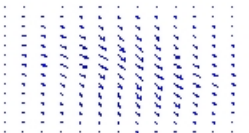
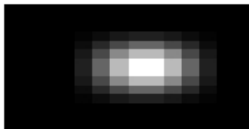
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# Simple Example: Square

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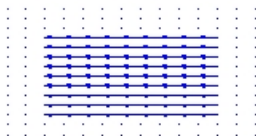
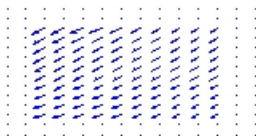
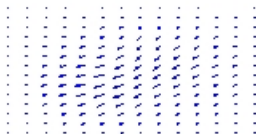
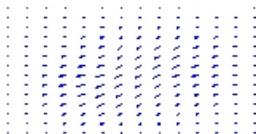
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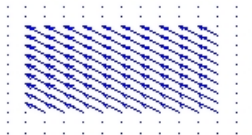
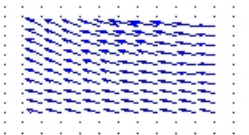
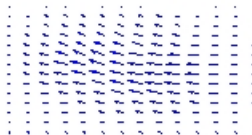
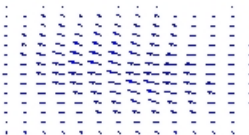
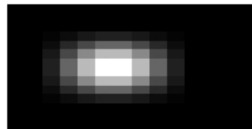
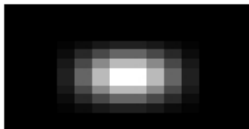
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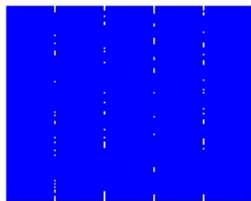
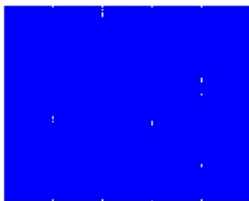
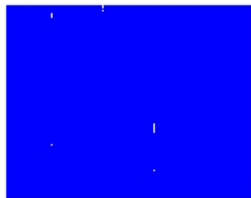
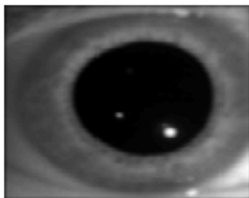
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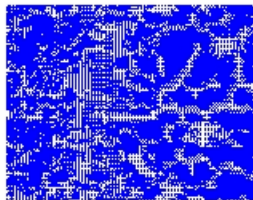
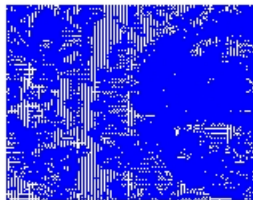
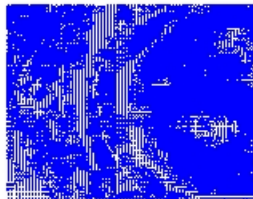
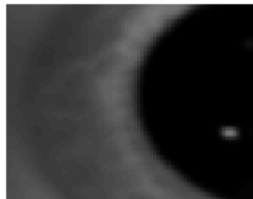
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# Results: Moving Eye

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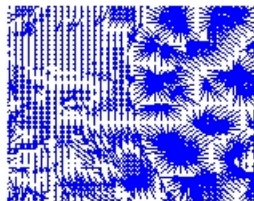
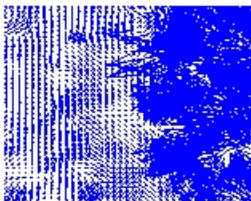
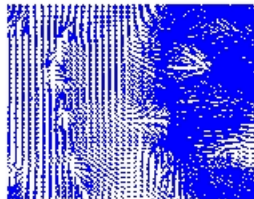
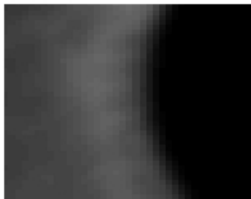
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# Horn-Schunck vs Lucas-Kanade

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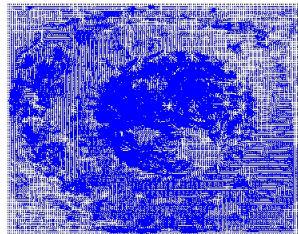
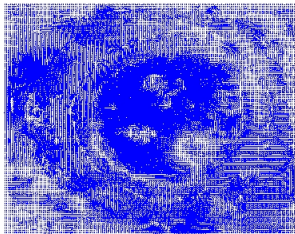
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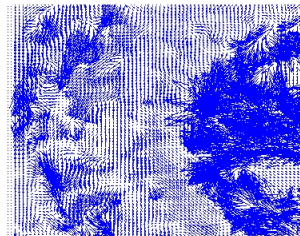
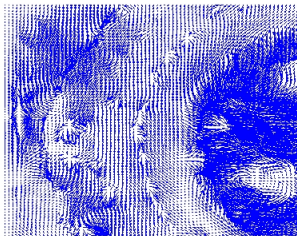
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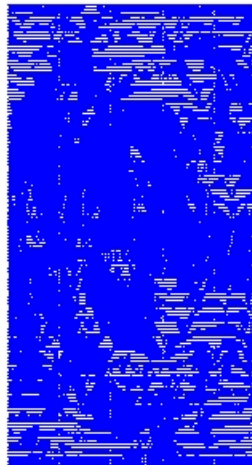
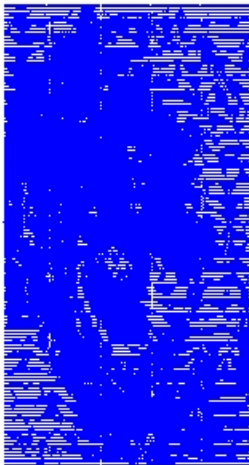
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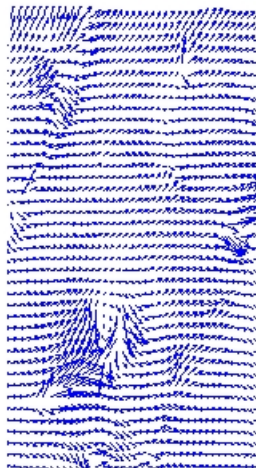
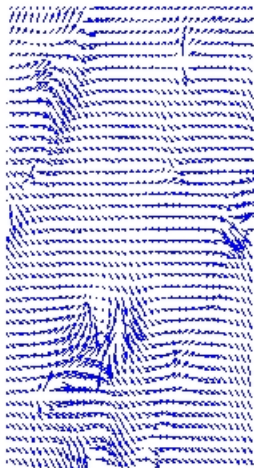
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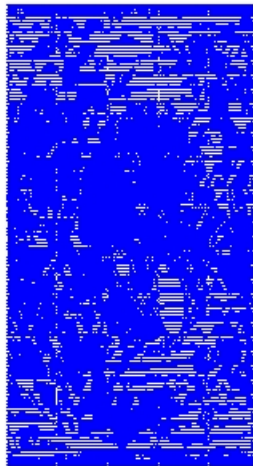
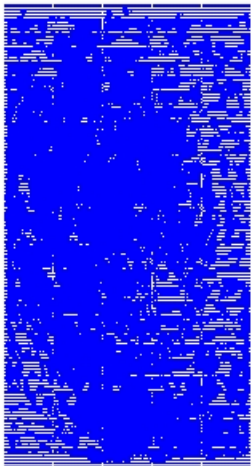
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